

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NEWTON E. BALL

DOCKET NO.: 5402.005

SERIAL NO.:

EXAMINER:

FILED:

ART UNIT:

TITLE: SELF LEAD FOIL WINDING CONFIGURATION FOR TRANSFORMERS AND
INDUCTORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Antonio R. Durando
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, applicant wishes to make of record the items on attached PTO Form 1449. Pursuant to 37 CFR 1.98(d), copies of the patents listed in Form 1449 are not submitted herewith because previously cited by and/or submitted to the Office during prosecution of U.S. Serial No. 09/707,661, the parent case the priority of which is being claimed for this application.

Applicant believes that none of the foregoing referenced material, alone or in combination, anticipates or renders obvious his invention.

Respectfully submitted,



Gavin J. Milczarek-Desai
Reg. No. 45,801

Date: 7-7-03

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	
Filing Date	
First Named Inventor	N wton E. Ball
Art Unit	
Examiner Name	
Attorney Docket Number	5402.005

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,368,407	01/11/1983	Wroblewski	
		US- 5,027,412	06/25/1991	Hayashi et al.	
		US- 5,117,215	05/26/1992	Kakehashi et al.	
		US- 5,515,021	05/07/1996	Rynkiewicz	
		US- 5,805,045	09/08/1998	Henninger	
		US- 6,010,362	01/04/2000	Caviness et al.	
		US- 6,080,935	06/27/2000	Lanoue et al.	
		US- 6,087,922	07/11/2000	Smith	
		US- 6,222,437 B1	04/24/2001	Soto et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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